

# GATA, Pre-Qualification, and Other Requirements

Office of Accountability

Presenter: Ms. Amber Broughton



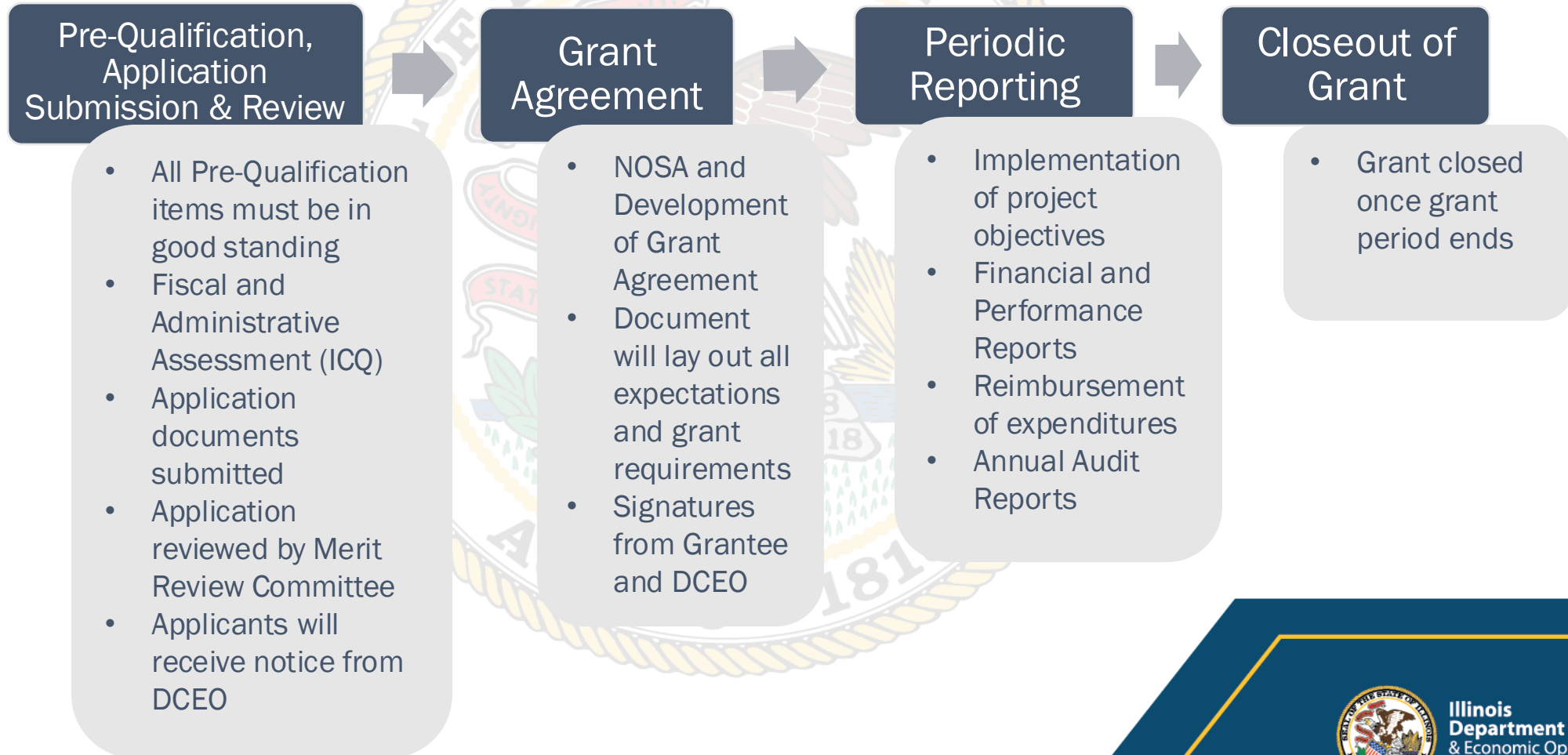
**Illinois**  
**Department of Commerce**  
& Economic Opportunity

# Overview

- Review the DCEO Grant Process
- How to register in the IL GATA Grantee Portal
- Pre-Qualification
- Notice of Funding Opportunity
- What to expect after Grant Award



# Grants Process



# What is GATA?

- GATA - Grant Accountability and Transparency Act
- GATA Goals:
  - Remove duplicative processes
  - Increase accountability and transparency
  - Follow 2 CFR 200



# Getting Started in the GATA Grantee Portal

- <https://grants.illinois.gov/portal/>
  - Create Account



**Illinois Grant Accountability and Transparency Act**  
**Welcome to the GATA Grantee Portal**

[Grantee Portal Sign In](#) [Amplifund Sign In](#) [Create Account](#) [Public Account Help](#) [Partner Account Help](#)

To access the Portal or Amplifund you must have an Illinois.gov account.  
To create an account, click the Create Account button.  
For Public domain account help, click the Public Account Help button.  
For Partner domain account help, click the Partner Account Help button.

*Partner account usernames end in "@external.illinois.gov" and are most likely DHS CRV account users.*

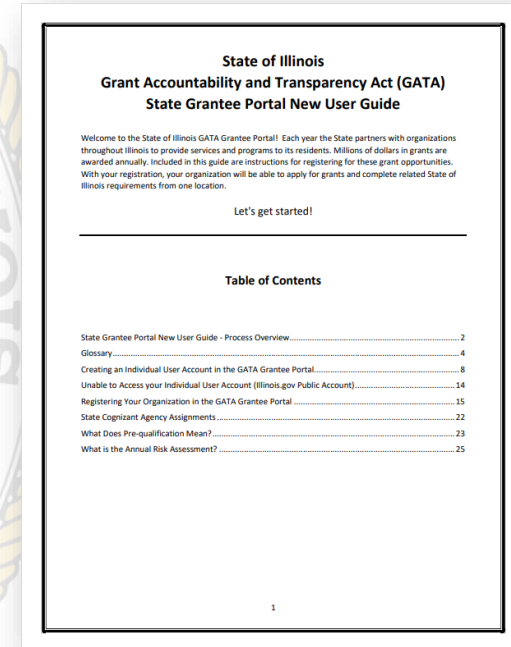
**Note:** To bookmark this Portal, bookmark this page. Bookmarking the sign in page will cause an error.

[Grantee Portal Frequently Asked Questions?](#)



# Getting Started in the GATA Grantee Portal

- GATA New User Guide
  - <https://www2.illinois.gov/sites/GATA/Documents/Resource%20Library/GATA%20New%20User%20Guide.pdf>
  - Provides step-by-step GATA Portal instructions
  - For new or current Portal users
- Grant Accountability and Transparency Unit at GOMB
  - <https://gata.illinois.gov/>



# Pre-Qualification

- Required
- Maintain compliance
- Meet expectations
- Responsibly manage funds
- Effective Communication

PRE-QUALIFICATION INFORMATION	
<b>SAM.gov Account:</b>	Good until 8/5/2023
<b>Federal Employer ID (FEIN):</b>	Good
<b>Federal Excluded Parties List:</b>	Good
<b>Federal Delinquent Debt:</b>	Good
<b>Illinois Secretary of State:</b>	Good
<b>Illinois Stop Payment List:</b>	Good
<b>Illinois DHFS Sanction List:</b>	Good

Grantee must be in qualified status by the application review date by the state awarding agency.



# Pre-Qualification in the GATA Grantee Portal

## Pre-Qualification Status

**Your organization not in good standing. Items in red require your action.**

**Items in yellow are being evaluated by the State. Their status could take up to one business day.**

Requirement	Status	Remediation
SAM.gov Account	UEI not found	<a href="#">Help</a>
Federal Employer ID (FEIN)	Pending	<a href="#">Help</a>
Federal Excluded Parties List	Good	<a href="#">Help</a>
Illinois Secretary of State	Not Found	<a href="#">Help</a>
Illinois Stop Payment List	Good	<a href="#">Help</a>
Illinois DHFS Sanction List	Good	<a href="#">Help</a>

Your organization must remain in good standing in all pre-qualification requirements. The status of these requirements are validated nightly. Notifications when changes occur to the pre-qualification status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.





# SAM.gov Account

- Active registration required
  - Validated annually
- UEI Number
  - Used in the IL GATA Grantee Portal
- Registration should be set to “Public”
- Receiving UEI vs Complete Registration

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



# Federal Employer ID (FEIN)

- Business entity identification
- Used by federal government
- Request from IRS
- Verification confirmed automatically from SAM.gov

Requirement
SAM.gov Account
<b>Federal Employer ID (FEIN)</b>
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



# Federal Excluded Parties List

- Excluded from receiving Federal contracts
- Suspensions and debarments
- Generated by SAM.gov
- Automatically updated

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
<b>Federal Excluded Parties List</b>
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



# Illinois Secretary of State

- Certificate of Good Standing
- Business entity exists
- Allowed to conduct business in Illinois
- In compliance with State regulations
- [www.ilsos.gov](http://www.ilsos.gov)
- Not needed for governmental entities

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
<b>Illinois Secretary of State</b>
Illinois Stop Payment List
Illinois DHFS Sanction List



# Illinois Stop Payment List

- Entity has fallen out of compliance.
- View reason in the portal
- For questions, contact your former Grant Manager or your cognizant agency
- For DCEO, contact [ceo.granthelp@illinois.gov](mailto:ceo.granthelp@illinois.gov)

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
<b>Illinois Stop Payment List</b>
Illinois DHFS Sanction List



# Illinois DHFS Sanction List

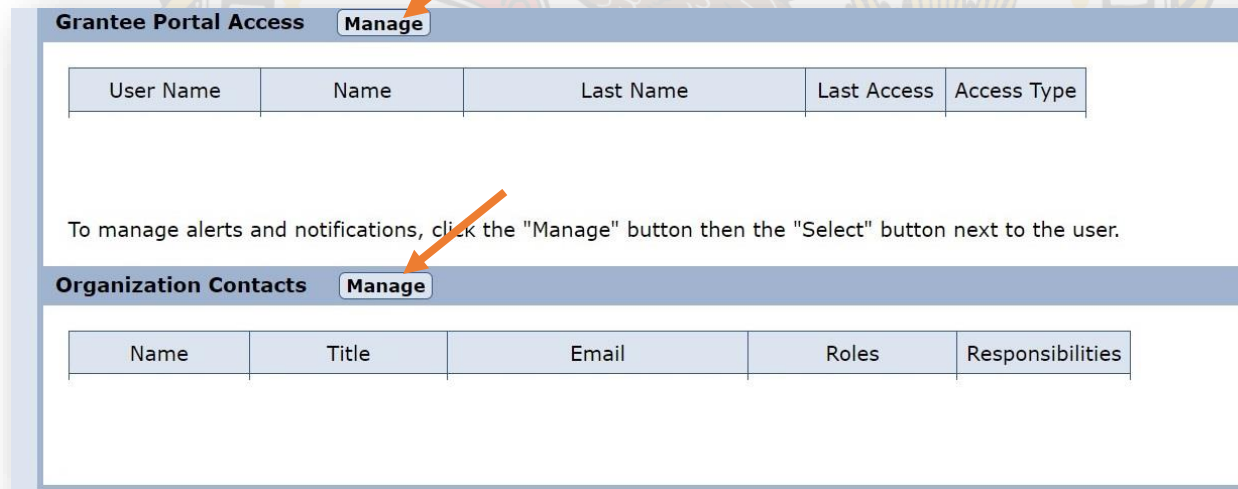
- Not eligible for Medicaid Reimbursement
- Administrative action taken against entity or individual
- Violation of:
  - Administrative Rule
  - Civil Law
  - Criminal Offense

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
<b>Illinois DHFS Sanction List</b>



# Other GATA Grantee Portal Tips

- Maintain current and accurate contact list
- Ensure fiscal year end date is accurate



**Grantee Portal Access** **Manage**

User Name	Name	Last Name	Last Access	Access Type
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To manage alerts and notifications, click the "Manage" button then the "Select" button next to the user.

**Organization Contacts** **Manage**

Name	Title	Email	Roles	Responsibilities
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# Notice of Funding Opportunity (NOFO)

- Outlines all important details of program and how to apply
- **NOFO Sections:**
  - Program Description
  - Funding Information
  - Eligibility Information
  - Application and Submission Information
  - Application Review Information
  - Award Administration Information
  - State Awarding Agency Contacts
  - Other Information, if applicable

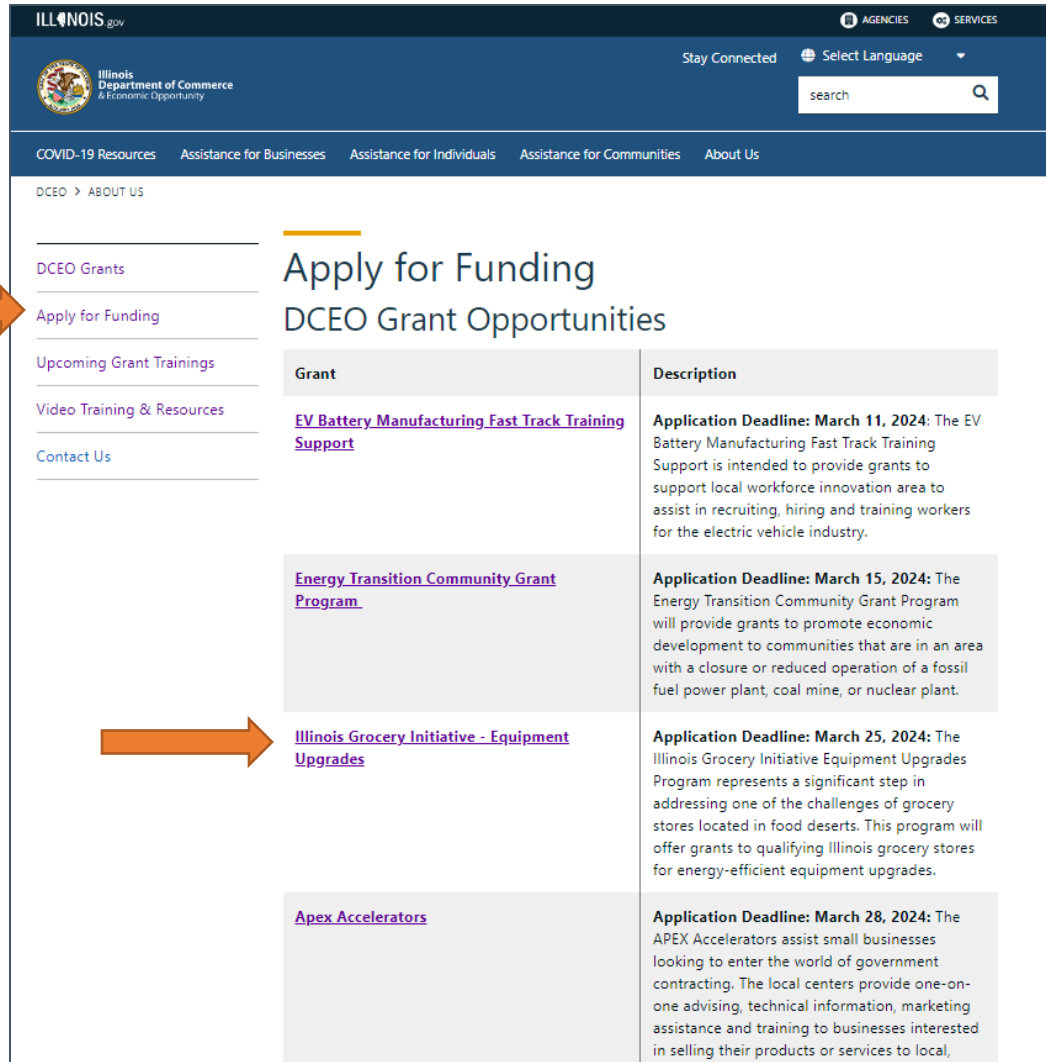
Other NOFOs  
can be found in  
the CSFA





# Where to Find NOFOs

<https://dceo.illinois.gov/dceo-grants.html>



ILLINOIS.gov

AGENCIES SERVICES

Stay Connected Select Language

search

COVID-19 Resources Assistance for Businesses Assistance for Individuals Assistance for Communities About Us

DCEO > ABOUT US

DCEO Grants

**Apply for Funding**

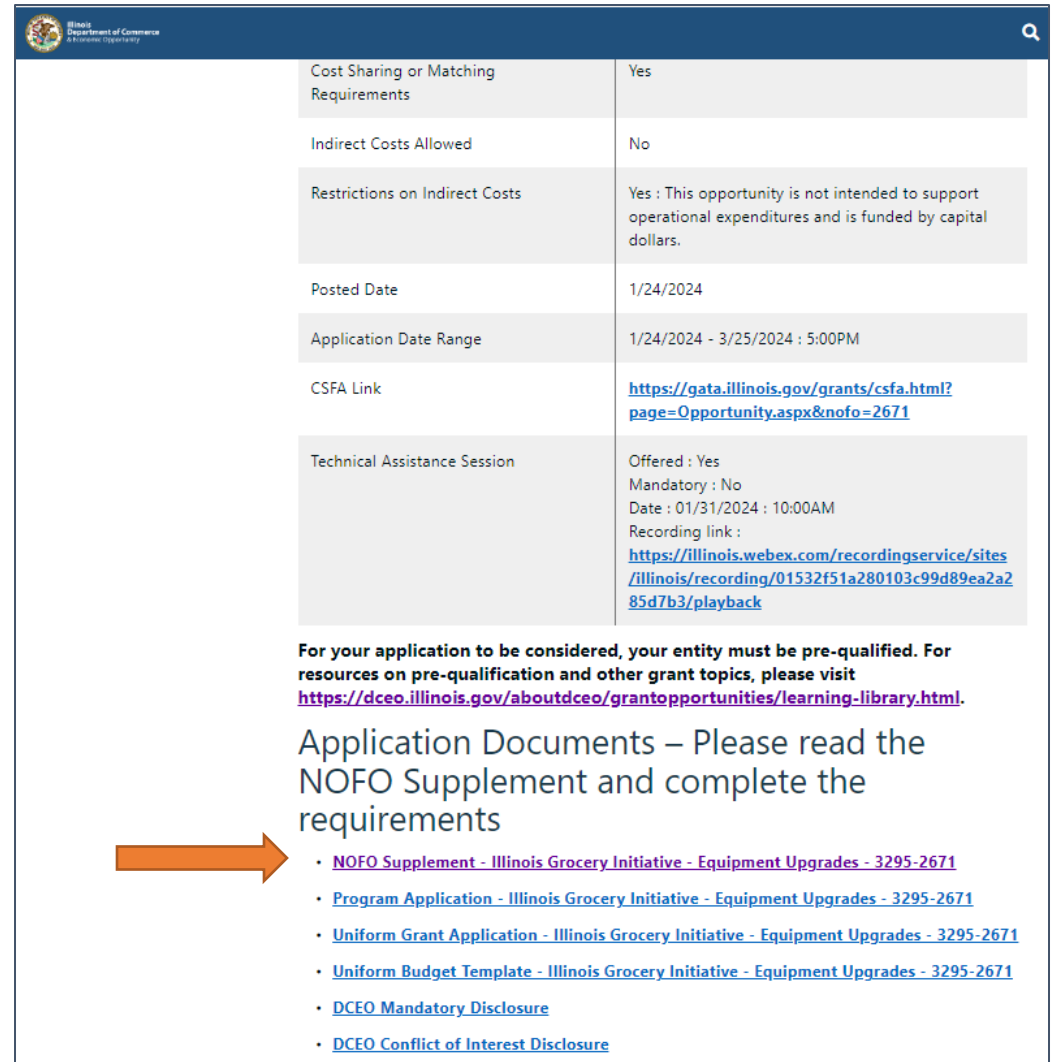
Upcoming Grant Trainings

Video Training & Resources

Contact Us

## Apply for Funding DCEO Grant Opportunities

Grant	Description
<a href="#">EV Battery Manufacturing Fast Track Training Support</a>	<b>Application Deadline: March 11, 2024:</b> The EV Battery Manufacturing Fast Track Training Support is intended to provide grants to support local workforce innovation area to assist in recruiting, hiring and training workers for the electric vehicle industry.
<a href="#">Energy Transition Community Grant Program</a>	<b>Application Deadline: March 15, 2024:</b> The Energy Transition Community Grant Program will provide grants to promote economic development to communities that are in an area with a closure or reduced operation of a fossil fuel power plant, coal mine, or nuclear plant.
<a href="#">Illinois Grocery Initiative - Equipment Upgrades</a>	<b>Application Deadline: March 25, 2024:</b> The Illinois Grocery Initiative Equipment Upgrades Program represents a significant step in addressing one of the challenges of grocery stores located in food deserts. This program will offer grants to qualifying Illinois grocery stores for energy-efficient equipment upgrades.
<a href="#">Apex Accelerators</a>	<b>Application Deadline: March 28, 2024:</b> The APEX Accelerators assist small businesses looking to enter the world of government contracting. The local centers provide one-on-one advising, technical information, marketing assistance and training to businesses interested in selling their products or services to local.



Illinois Department of Commerce & Economic Opportunity

Cost Sharing or Matching Requirements	Yes
Indirect Costs Allowed	No
Restrictions on Indirect Costs	Yes : This opportunity is not intended to support operational expenditures and is funded by capital dollars.
Posted Date	1/24/2024
Application Date Range	1/24/2024 - 3/25/2024 : 5:00PM
CSFA Link	<a href="https://gata.illinois.gov/grants/csfa.html?page=Opportunity.aspx&amp;nofo=2671">https://gata.illinois.gov/grants/csfa.html?page=Opportunity.aspx&amp;nofo=2671</a>
Technical Assistance Session	Offered : Yes Mandatory : No Date : 01/31/2024 : 10:00AM Recording link : <a href="https://illinois.webex.com/recording/service/sites/illinois/recording/01532f51a280103c99d89ea2a285d7b3/playback">https://illinois.webex.com/recording/service/sites/illinois/recording/01532f51a280103c99d89ea2a285d7b3/playback</a>

**For your application to be considered, your entity must be pre-qualified. For resources on pre-qualification and other grant topics, please visit <https://dceo.illinois.gov/aboutdceo/grantopportunities/learning-library.html>.**

### Application Documents – Please read the NOFO Supplement and complete the requirements

- [NOFO Supplement - Illinois Grocery Initiative - Equipment Upgrades - 3295-2671](#)
- [Program Application - Illinois Grocery Initiative - Equipment Upgrades - 3295-2671](#)
- [Uniform Grant Application - Illinois Grocery Initiative - Equipment Upgrades - 3295-2671](#)
- [Uniform Budget Template - Illinois Grocery Initiative - Equipment Upgrades - 3295-2671](#)
- [DCEO Mandatory Disclosure](#)
- [DCEO Conflict of Interest Disclosure](#)

# Fiscal and Administrative Internal Controls Questionnaire (ICQ)

- Must be completed every State Fiscal Year in the GATA Grantee Portal
- Mitigates risk and builds grantee capacity (not punitive)

**Fiscal and Administrative Internal Controls Questionnaire (ICQ)**

ICQ	State FY	Date Started	Date Submitted	Date Accepted
<a href="#">View</a>	2024	03-13-2023		
<a href="#">View</a>	2023	04-22-2022		
<a href="#">View</a>	2022	03-30-2021		
<a href="#">View</a>	2021	04-14-2020		
<a href="#">View</a>	2020	03-06-2019	02-25-2020	
<a href="#">View</a>	2019	06-28-2018		
<a href="#">View</a>	2018	12-19-2017		

An ICQ is required for each state fiscal year your organization does business with the State of Illinois. For example, state FY 2018 which begins on 7/1/2017 and runs through 6/30/2018 requires an FY 2018 ICQ. Notifications when changes occur to an ICQ status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

**Illinois Grant Accountability and Transparency Act  
FY 2023 Internal Controls Questionnaire**

[Return to Main Menu](#) [Print](#) [Submit](#)

When all questions are answered the Submit button will be enabled. After the form is submitted no changes will be allowed. Grantee Portal Access users that have selected "Receive ICQ alerts and notifications" will receive an overnight notification that the status of an ICQ has change.

Section	Questions	Answered
<a href="#">02 - Quality of Management System</a>	8	0
<a href="#">03 - Financial and Programmatic Reporting</a>	6	0
<a href="#">04 - Ability to Effectively Implement Requirements</a>	29	0
<a href="#">05 - Audit</a>	5	0
<b>All Sections</b>	<b>48</b>	<b>0</b>

Printing the ICQ requires Adobe Acrobat Reader.  
ICQ Assistance: [Commerce And Econ Opp \(ceo.gata@illinois.gov\)](mailto:ceo.gata@illinois.gov)



# Post-Award Requirements

- With Your Grant Manager
  - Periodic Financial Report
  - Periodic Performance Report
- In the GATA Grantee Portal
  - Consolidated Year End Financial Statement and Audit



# Audit Types and Submission Deadlines

Total Expenditures of Federal Awards (direct or pass through)	Total Expenditures of State Awards	Not-For Profit and Governmental Grantees	For Profit Grantees	Audit Report Submission Deadline
During the grantee's fiscal year				
\$750,000 or more	N/A	Single Audit	Program-Specific Audit	9 months after grantee fiscal year end
Less than \$750,000	\$500,000 or more	Generally Accepted Governmental Auditing Standards Financial Statement Audit		6 months after grantee fiscal year end
	\$300,000 or more	Generally Accepted Auditing Standards Financial Statement Audit		6 months after grantee fiscal year end
	Less than \$300,000	Submit audit report if audit conducted due to other requirements		6 months after fiscal year end



# DCEO Grantee Resource Site

<https://dceo.illinois.gov/dceo-grants.html>

- Current Grant Opportunities
- Video & Resource Library
- Upcoming Grant Trainings
  - Sign up for training emails
  - Office Hours (Tuesdays 2-3pm)
- Grant Help Desk
  - [ceo.granthelp@illinois.gov](mailto:ceo.granthelp@illinois.gov)



A screenshot of the Illinois Department of Commerce &amp; Economic Opportunity (DCEO) Grants Resource Site. The page has a dark blue header with the Illinois state seal and the text 'ILLINOIS.gov' and 'Illinois Department of Commerce &amp; Economic Opportunity'. Navigation links include 'AGENCIES' and 'SERVICES'. A search bar is present. Below the header, there are navigation links for 'COVID-19 Resources', 'Assistance for Businesses', 'Assistance for Individuals', 'Assistance for Communities', and 'About Us'. The main content area is titled 'DCEO &gt; DCEO Grants' and features a sidebar with links: 'DCEO Grants', 'Apply for Funding', 'Upcoming Grant Trainings', 'Video Training &amp; Resources', and 'Contact Us'. The main content area is divided into four sections: 1. 'DCEO Grants' with an icon of a document with a dollar sign and a pencil, and text: 'Search a current listing of DCEO grant opportunities including application links and information, as well as information on Capital Grants.' 2. 'Upcoming Grant Trainings' with an icon of two people and a calendar, and text: 'Interested in learning more about the grant process? See the calendar listing of upcoming live training opportunities and sign-up for future grant trainings.' 3. 'Video Training &amp; Resource Library' with an icon of a video camera, and text: 'A collection of training videos from all facets of the grant lifecycle. Enjoy short tutorials on how to navigate the grant process.' 4. 'Contact DCEO Grant Help Desk' with an icon of an information symbol, and text: 'Need assistance with a grant-related question? Click here to contact the DCEO Grant Help Desk.'