



1-K Sub-Recipient Monitoring Questions

v42_2023-12-31

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Incomplete

Submit

Debugger

1. The grantee tested the subrecipient's cost allocation plan and ensured that it is adequate/acceptable and in compliance with the Uniform Guidance.

2. The grantee's monitoring tool and policy are in compliance with the Uniform Guidance.

3. The grantee keeps files for each subrecipient monitored.

4. The monitoring tool and work papers are appropriately completed.

5. The monitoring work papers and supporting documentation adequately support that sampled expenditures were allowable.

6. The monitoring file contains all the letters applicable to the review

(Findings Letter, Subrecipient's Response, Grantee Final Response).

7. Follow-up was done to make sure corrective action was taken for findings.

Select an answer 

8. The grantee requires a closeout from its subrecipients.

Select an answer 

9. The grantee requires any excess revenue over costs (e.g., refunds, corrections, or post-closeout adjustments) to be refunded.

Select an answer 

10. All refunds due to the grantee have been returned by the subrecipient.

Select an answer 

11. An evaluation of the subrecipient's risk of noncompliance with federal statutes, regulations, and the terms and conditions of the award was completed to determine the extent of monitoring.

Select an answer 

12. The subaward has the required elements: sufficiently detailed budget and narrative to

Select an answer 

support the reasonableness/allowability of costs; references to applicable federal regulations, including the DOL Exceptions to the Uniform Guidance; and identification of the required subaward elements at 2 CFR 200.331 (e.g., Federal Award Identification Number, CFDA Number and Name, and the Names of the Federal Awarding Agency and the Pass-Through Entity).

Summary:

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s:Staging